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By: [Signature]  
Andrea S. Beck

PATENT

Attorney Docket No.: 020425-105900US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

James D. Peterson

Application No.: 10/698,140

Filed: October 31, 2003

For: SYSTEM AND METHOD FOR  
PROVIDING FINANCIAL ADVICE  
FOR AN INVESTMENT PORTFOLIO

Customer No.: 20350

Confirmation No. 2938

Examiner: Eric Tak Wai Wong

Technology Center/Art Unit: 3693

AMENDMENT

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

In response to the Final Office Action mailed March 2, 2010 on the above-referenced application, and in conjunction with the concurrently filed Request for Continued Examination, please enter the following amendments and remarks: